

LOAN SERVICER/LOAN MODIFICATION QUARTERLY REPORT
For Period of October 1 - December 31, 2011
ILLINOIS LOANS ONLY

This form is implemented and authorized by Section 4-4 of the Residential Mortgage License Act of 1987 205 ILCS 635/4-4] Completion of this information is REQUIRED. This form has been approved by the Agency Forms Coordinator.

INSTITUTION NAME _____

LICENSE or CHARTER NUMBER _____

INSTITUTION ADDRESS _____

Check One

<input type="checkbox"/>	mortgage broker/banker (servicing activity ____%)
<input type="checkbox"/>	savings bank
<input type="checkbox"/>	commercial bank

1. *MORTGAGE BROKERS/MORTGAGE BANKERS ONLY* Y/N
 Are you currently offering, or participating with others in offering loan modification services?
 If no, do you anticipate offering or participating with others in offering these services in the future? Y/N

2. *MORTGAGE BROKERS/MORTGAGE BANKERS ONLY* #
 (a) State the total number of loan modifications initiated in calendar year 2011 (as of 12/31/2011) _____
 (b) State the total number of loan modifications initiated this quarter (from 10/1/2011 through 12/31/2011) _____

3. *MORTGAGE BROKERS/MORTGAGE BANKERS ONLY*
 Of the loans initiated this quarter (as indicated in Item 2b), provide the number of those loan modifications initiated because the loans were in default, pending foreclosure, or high interest rate, or other:

Category	#
Default	
Pending Foreclosure	
Rate	
Other (Please explain)	

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4. *MORTGAGE BROKERS/MORTGAGE BANKERS ONLY*
 How many of the loan modifications initiated in 2011 were successfully completed in 2011 (from 10/1/2011 through 12/31/2011)?

Category	#	\$
Rate Reduction		
Maturity Extension		
Forbearance		
Principal Reduction		
Other (explain)		
How many loan modifications initiated in 2011 were unable to achieve a modification?		
How many loan modifications initiated in 2011 are still pending as of 12/31/2011?		
Of the loans successfully completed this quarter, how many of those were initiated <i>prior</i> to 2011.		
How many loan modifications initiated <i>prior</i> to 2011 are still pending?		

5. *LOAN SERVICERS ONLY*

Totals for First Lien Loans

The total number and dollar amount of first lien mortgage loans that you were servicing as of December 31, 2011, and within these totals, the number and dollar amount of delinquent first lien loans (i.e., those loans for which payments are 30 days or more past due) by fixed or adjustable (variable) rate loan type

	#	\$
Total First Lien loans serviced (number and dollar amount)		
Total <i>Delinquent</i> First Lien Loans		
Total Delinquent First Lien <i>Fixed</i> Rate Loans		
Total Delinquent First Lien <i>Adjustable</i> Rate Loans		

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6. *LOAN SERVICERS ONLY*

Totals for Second Lien Loans:

The total number and dollar amount of second lien mortgages closed and open-ended (HELOC) type that you were servicing as of December 31, 2011, and within these totals, the number and dollar amount of delinquent second lien loans (i.e., those loans for which payments are 30 days or more past due) by fixed or adjustable (variable) rate loan type.

	#	\$
Total Second Lien loans serviced (number and dollar amount)		
Total <i>Delinquent</i> Second Lien Loans		
Total Delinquent Second Lien <i>Fixed</i> Rate Loans		
Total Delinquent Second Lien <i>Adjustable</i> Rate Loans		

7. *LOAN SERVICERS ONLY*

Totals for Loan MODIFICATIONS

Of all the serviced loans indicated in Items 5 and 6 (First and Second Lien), the total number and dollar amount of these loans where you have made workout arrangements or loan modifications with borrowers and within those totals, the number and dollar amount by categories of reduced rates, extended loan maturity, grace periods, lower principal amount, or other.

Category	#	\$
Rate Reduction		
Maturity Extension		
Forbearance		
Principal Reduction		
Other (explain)		

Additionally, please provide a brief description of your loss mitigation processes: (please use attached continuation page if necessary):

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8. *LOAN SERVICERS ONLY*

Proactive Loss Mitigation Steps:

Your proactive loss mitigation steps between October 1 – December 31, 2011, including calls and mailings to borrowers (**excluding collection calls, bills, and other collection mailings**) and participation at community outreach events, such as the Governor's Homeowner Outreach Days. Please use attached continuation page if necessary

Type of Action:

#

Calls to delinquent borrowers		
Calls to borrowers whose interest rates are about to reset		
Calls to borrowers in danger of delinquency		
Loss Mitigation Mailings		
Outreach Meetings/Community Forums Attended:		
Other Proactive Steps to Assist Borrowers:		

9. *LOAN SERVICERS ONLY*

Totals for Subordinated Loans

The number of requests for the subordination of second lien loans to a potential first lien mortgage holder and within that total, the number of subordinations granted and denied since last reporting period.

#

Number of Requests for subordinations of Second Lien Loans to another First Lien holder		
Number of Second Lien Loans subordinated to another First Lien-holder		
Number of loans you own that were subordinated		
Number of Loans denied subordination to a potential new First Lien mortgagor. Please explain.		

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10.	<i>MORTGAGE BROKERS/MORTGAGE BANKERS & SERVICERS</i>	
	Are you charging a fee for providing loan modification services (above cost)?	Y/N
	If so, state the fee	\$
	Is a written contract or agreement executed by the borrower?	Y/N
	If no, explain company policy as to payment of fees by the borrower and for any other source: (If you do not provide loan modification services please write "No Loan Mods provided.")	
	If yes, does the contract provide that no fees are payable by the borrower unless a modification beneficial to the borrower is executed?	Y/N
Are any fees required to be paid to you or anyone else prior to services being rendered?	Y/N	

Signature: _____

Name: _____

Official Title: _____

Telephone: _____

Fax: _____

E-mail Address: _____

Date: _____

I hereby certify and attest that the information reported is true and correct to the best of my knowledge and belief.

This report must be submitted by February 15, 2012. Failure to file this report by the deadline may result in disciplinary action.

If you have any questions regarding this questionnaire, please contact (312) 793-4532.

